



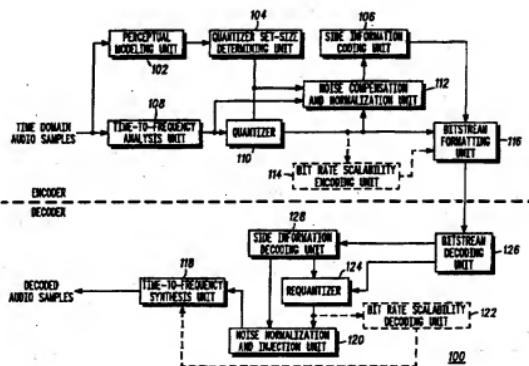
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>G10L 3/02</b>		A1	(11) International Publication Number: <b>WO 97/15916</b>		
(21) International Application Number: <b>PCT/US96/13959</b>		(43) International Publication Date: <b>1 May 1997 (01.05.97)</b>			
(22) International Filing Date: <b>27 August 1996 (27.08.96)</b>		(81) Designated States: <b>BR, CA, CN, FI, KR, MX, SE, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</b>			
(30) Priority Data: 08/548,773 26 October 1995 (26.10.95) US		Published <i>With international search report.</i>			
(71) Applicant: <b>MOTOROLA INC. [US/US]; 1303 East Algonquin Road, Schaumburg, IL 60196 (US).</b>					
(72) Inventor: <b>PAN, Davis; 532 Caren Drive, Buffalo Grove, IL 60089 (US).</b>					
(74) Agents: <b>STOCKLEY, Darleen, J. et al.; Motorola Inc., Intellectual Property Dept., 1303 East Algonquin Road, Schaumburg, IL 60196 (US).</b>					

(54) Title: **METHOD, DEVICE, AND SYSTEM FOR AN EFFICIENT NOISE INJECTION PROCESS FOR LOW BITRATE AUDIO COMPRESSION**

(57) Abstract

The present invention provides a device, method (400, 500), and system (100) of noise injection to maximize compressed audio quality while enabling bitrate scalability. It includes at least one of an encoder and a decoder. The encoder includes a zero detection unit, coupled to receive a frequency domain quantized signal, for determining a control signal that indicates whether noise injection is implemented and a normalization computation unit, coupled to receive at least unquantized signal values and the control signal, for determining a normalization term in accordance with the control signal. The decoder includes a zero detection unit, coupled to receive a frequency domain quantized signal, for determining a control signal that indicates when noise injection is active and a noise generation and normalization unit, coupled to receive a normalization term and the control signal, for generating, normalizing, and injecting a predetermined noise signal where indicated by the control signal.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France			UZ	Uzbekistan

**METHOD, DEVICE, AND SYSTEM FOR AN EFFICIENT NOISE  
INJECTION PROCESS FOR LOW BITRATE AUDIO  
COMPRESSION**

5

**Field of the Invention**

The present invention relates to high quality generic audio compression, and more particularly, to high quality generic audio compression at low bit rates.

10

**Background**

Modern, high-quality, generic, audio compression algorithms take advantage of the noise masking

15 characteristics of the human auditory system to compress audio data without causing perceptible distortions in the reconstructed audio signal. This form of compression is also known as perceptual coding. Most algorithms code a predetermined, fixed, number of time-domain audio samples. a  
20 'frame' of data, at a time. Since the noise masking properties depend on frequency, the first step of a perceptual coder is to map a frame of audio data to the frequency domain. The output of this time-to-frequency mapping process is a frequency domain signal where the signal components are grouped  
25 according to subbands of frequency. A psychoacoustic model analyzes the signal to determine both the signal-dependent and

signal-independent noise masking characteristics as a function of frequency. These masking characteristics are expressed as signal-to-mask ratios for each subband of frequency. A quantizer can then use these ratios to determine 5 how to quantize the signal components within each subband such that the quantization noise will be inaudible. Quantizing the signal in this manner reduces the number of bits needed to represent the audio signal without necessarily degrading the perceived audio quality of the resulting signal.

10

As long as there are enough code bits to guarantee that the quantization noise will be less than the noise masking level within each subband, the coding process will not produce audible distortions. In the case of very low bitrate coding of 15 audio signals, this will usually not be the case. Under these conditions, the quantizer attempts to mask as much of the quantization noise as possible based on the signal-to-mask ratios computed by the psychoacoustic model. Sometimes this causes the quantizer to alternately quantize certain subbands 20 to all zeroes, then quantize the same subbands to non-zero values from one frame of data to the next. This alternating turn-on and turn-off of subbands produces very unnatural swishing or warbling artifact sounds.

25 Bitrate scalability is a useful feature for data compression coder and decoders. A scalable coder encodes a

signal at a high bitrate so that subsets of this bitstream can be decoded at lower bitrates. One application of this feature is the remote browsing of data without the burden of downloading the full, high bitrate data file. For the efficient 5 use of code bits, the low bitrate streams should be used to help reconstruct the higher bitrate streams. One approach is to first encode data at a lowest supported bitrate, then encode an error between the original signal and a decoded lowest bitrate signal to form a second lowest bitrate bitstream and 10 so on. For this scheme to work, the error signal must be easier to compress than the original. For this to be the case, the signal-to-noise ratio of each decoded output should be maximized. This is not the case for most noise shaping techniques used in speech coding.

15

Thus, there is a need for a device, method and system that provides an efficient method of improving the quality of compressed audio signals by masking the unnatural swishing artifacts, and where selected, by facilitating scalable bitrate 20 coding.

#### Brief Descriptions of the Drawings

FIG. 1 is a block diagram of one embodiment of an audio compression system that utilizes an encoder and a decoder in accordance with the present invention.

5 FIG. 2 is a block diagram of one embodiment of a noise computation and normalization unit of the encoder of FIG. 1 shown with greater particularity.

10 FIG. 3 is a block diagram of one embodiment of a noise normalization and injection unit of the decoder of FIG. 1 shown with greater particularity.

15 FIG. 4 is a flow chart of steps for a preferred embodiment of steps of a method in accordance with the present invention.

FIG. 5 is a flow chart of steps for another preferred embodiment of steps of a method in accordance with the present invention.

20

#### Detailed Description of a Preferred Embodiment

25 The present invention provides a novel device, method and system for noise injection into a compressed audio signal. This invention improves the audio quality of highly compressed

audio data by reducing the audibility of artificial sounding compression artifacts. These artifacts are caused by alternately turning on and off frequency subbands. Alternative approaches, as the approach described in U.S. patent

5 application serial number 08/207,995 by James Fiocca et al., incorporated herein by reference, may either reduce the bandwidth of the compressed audio signal or increase the audibility of noise in other parts of the spectrum. The present invention offers these improvements with a very low coding overhead. In one implementation of the present invention, only 10 4 bits of overhead code are needed per frame (1024 samples) of audio data. The invention has an additional advantage in that it does not adversely affect the signal-to-noise ratio of the coded signal. This is advantageous for bitrate scalable 15 coding. Noise can be injected at the last stage of decoding. Pre-noise-injected versions of the decoded signals can be summed together to build the highest-bitrate, highest-fidelity, version of the decoded signal.

20 FIG. 1, numeral 100, is a block diagram of one embodiment of an audio compression system that utilizes at least one of an encoder and a decoder in accordance with the present invention. FIG. 4, numeral 400, is a flow chart of steps for a preferred embodiment of steps of a method in 25 accordance with the present invention. FIG. 5, numeral 500, is

a flow chart of steps for another preferred embodiment of steps of a method in accordance with the present invention.

5        Different noise injection processing is used in the encoder and the decoder (404, 504).

The encoder includes a noise computation and normalization unit (112). FIG. 2, numeral 200, is a block diagram of one embodiment of a noise computation and 10 normalization unit shown with greater particularity. The noise computation and normalization unit consists of: A) a zero detection unit (202) that is coupled to receive a frequency domain quantized signal, and is used for determining, a control signal that indicates whether noise injection is implemented in accordance with a predetermined 15 scheme; B) a normalization computation unit (204) that is coupled to receive at least unquantized subband values and the control signal from the zero detection unit, and is used for determining an energy normalization term based on the 20 unquantized subband values in accordance with the control signal.

During encoding, audio data is processed by a time-to-frequency analysis unit (108) a frame of samples at a time 25 (402, 502). The time-to-frequency analysis unit maps time domain audio samples to a frequency domain. The frame of

audio samples is also processed simultaneously by a perceptual modeling unit (102). The perceptual modeling unit computes a signal-to-mask ratio for each subband of frequency. A quantizer step-size determining unit (104) uses 5 these ratios to determine a quantizer step-size for each subband of frequency. A quantizer (110) quantizes the frequency domain samples using the computed step-sizes. A noise computation and normalization unit (112) evaluates quantized subband values from the quantizer to determine if a 10 noise signal is to be injected (202) and computes a normalization term. The normalization term scales the injected noise.

In order to produce more subjectively pleasing noise 15 injected sounds, the injected noise may be colored by a pre-determined noise energy profile (412, 428). A linearly decreasing ramp profile:  
$$\text{profiled\_noise}(f) = \text{noise}(f) * [\text{HIGHLIM} - f] / [\text{HIGHLIM} - \text{LOWLIM}]$$
 provides acceptable results. HIGHLIM and LOWLIM are 20 predetermined constants. For example, values of HIGHLIM equal to 145 and LOWLIM of zero are appropriate for coding at six kilobits per second with a frame size of 1024.

In order to have accurate values for the noise 25 normalization term, the noise values injected at the encoder should be the same as the noise values injected at a decoder.

For this to be the case, identical random noise generators should be used at the encoder and decoder and seeds for the generators should be the same (410, 426). In one embodiment, an audio frame number (computed within blocks 204 and 304)

5 is used to seed the random noise generators for each frame. Other seeds available to both the encoder and decoder, such as code bits within the code bitstream representing the frame of data, may be used.

10 The method of noise generation by seeding and noise coloring with a noise profile may be omitted, where selected, from embodiments of the invention (510, 520).

15 The invention accommodates two implementations of the audio compression system. One implementation codes an individual quantizer step-size for each pre-defined frequency region. The other implementation codes a single global step-size for the entire frame. The invention accommodates both implementations of the audio compression system by checking

20 (416, 512).

25 In the audio compression system where there is a quantizer step-size for each of several pre-determined subbands of frequency, the zero detection unit (202) detects when all values of a subband are quantized to zero (406, 506) and generates a control signal indicating whether there are all

zeros in any pre-defined regions (408, 508). If all pre-defined regions contain non-zero values, the noise processing is ended for the frame (434, 526), otherwise a normalization term replaces the quantizer step-size for each subband that was 5 quantized to all zeroes (420, 516). The normalization term is based on a ratio of a sum energy of the unquantized frequency domain samples within a pre-determined subband that have all been quantized to zero and a sum energy of the injected noise (204, 414, 510).

10

In the audio compression system where there may be only one global quantizer step-size for the entire frame, the noise normalization term is coded in addition to the quantizer step-size (418, 514). Instead of detecting when all values of 15 a subband are quantized to zero, the zero detection unit (202) detects whenever any frequency value in a frame of audio data gets quantized to zero (406, 506) and generates a control signal indicating whether there are any zeros in the frame (408, 508). If the frame contains only non-zero values, the 20 noise processing is ended for the frame (434, 526). The noise normalization term is based on a ratio of a sum energy of all of the unquantized frequency domain samples within the frame that were quantized to zero and a sum energy of the injected noise (204, 414, 510). In this implementation there will be 25 only one normalization term for each frame of audio samples.

To efficiently represent the noise normalization term with only a few code bits, a coded representation is sent to a side information coding unit (106, 418, 420, 514, 516). The coded representation of this term is equal to one half of the 5 logarithm, base 2, of the one of the two ratios (depending on the implementation) described above. In mathematical terms, this may be expressed as:

$$\text{Coded\_representation} = K \times \log_2 (\Sigma (x^2(n)/y^2(n)))$$

where:

10 n is the index of samples in the frame.

K is a constant,

$x^2(n)$  is the original energy of the signal,  
samples that were quantized to zero,  
and

15  $y^2(n)$  is the energy of the noise to be  
substituted for samples quantized to  
zero.

Side information is sent to a bitstream formatting unit  
20 (116) which also encodes the quantized frequency domain  
samples. This completes the noise injection processing for  
the frame of audio data (434, 526)

Since the quantized frequency domain samples are free  
25 of injected noise at the encoder, an optional bitrate

scalability encoding unit (114) may directly use the quantized samples for difference coding.

The decoder includes a noise normalization and injection unit (120). FIG. 3, numeral 300, is a block diagram of one embodiment of a noise normalization and injection unit shown with greater particularity. The noise normalization and injection unit consists of: A) a zero detection unit (302), coupled to receive a frequency domain quantized signal, for 5 determining, a control signal that indicates implementation of noise injection according to a predetermined scheme when values of the frequency domain quantized signal are zero; and B) a noise generation and normalization unit (304), coupled to receive the energy normalization term and the control signal 10 from the zero detection unit, for substituting a predetermined noise signal multiplied by the energy normalization term 15 from the zero detection unit, for substituting a predetermined noise signal multiplied by the energy normalization term where indicated by the control signal.

For decoding, a bitstream decoding unit (126) decodes 20 the quantized frequency domain samples and sends the samples to a requantizer (124). The bitstream decoding unit also sends coded side information to a side information decoding unit (128). The side information decoding unit decodes a quantizer 25 step-size and noise normalization term(s). The side information decoding unit sends the quantizer step-size to the requantizer (124) and the normalization term to a noise

normalization and injection unit (120). The noise normalization and injection unit detects where the requantized frequency domain samples were quantized to zero (302) and injects noise according to a pre-determined scheme 5 (304).

In audio compression systems where there is a quantizer step-size for each of several pre-determined subbands of frequency, the noise computation and normalization unit (304) 10 injects noise only into the all-zeroed subbands (422, 424, 432, 518, 520, 524).

In audio compression systems where there is only one global quantizer step-size for the entire frame, the noise 15 normalization term is coded in addition to the global quantizer step-size. There will be only one normalization term for each frame of audio samples. Instead of detecting when all values of a subband are quantized to zero, the zero detection unit (302, 422, 518) detects whenever any frequency value in the 20 frame of audio data is quantized to zero (424, 520). The noise computation and normalization unit (304) injects noise to all of these zeroed values (432).

To decode the noise normalization term, the decoder 25 multiplies the coded representation of the normalization term by a factor less than or equal to 2. The factor is set based on

the perceived audio quality and may be adjusted at the decoder. The product is raised to the second power to obtain the noise normalization term. The noise signal is generated with the random number generator and seed (426) as described above, 5 then optionally colored (428) by the same pre-determined noise profile in the encoder and multiplied by the noise normalization term (430). The invention does not require noise generation based on a particular seed or noise coloring (522). The processed noise is injected into the quantized 10 frequency domain samples that were quantized to zero (432, 524). These samples are sent to the time-to-frequency synthesis unit (118) for final decoding to time domain audio samples.

15 If selected, the requantized sample values may be used by a bitrate scalability decoding unit (122) before noise is injected by the noise normalization and injection unit (120). Thus the scalability unit accesses clean sample values with higher signal-to-noise ratio than the noise injected sample 20 values. The clean sample values are accumulated for each successive higher bitrate before sending the result for the time-to-frequency synthesis unit (118).

25 The method and device of the present invention may be selected to be embodied in least one of: A) an application specific integrated circuit; B) a field programmable gate

14

array; C) a microprocessor; and D) a computer-readable memory; arranged and configured for efficient noise injection for low bitrate audio compression to maximize audio quality in accordance with the scheme described in greater detail above.

5

I claim:

1. A device for efficient noise injection for low bitrate audio compression to maximize audio quality, comprising:  
at least one of an encoder and a decoder:

5 A) the encoder including a noise computation and normalization unit comprising:  
1) a zero detection unit, coupled to receive a frequency domain quantized signal, for determining, a control signal that indicates whether noise injection is implemented in accordance with a predetermined scheme;  
10 2) a normalization computation unit, coupled to receive at least unquantized subband values and the control signal from the zero detection unit, for determining an energy normalization term based on the unquantized subband values in accordance with the control signal;

15 B) the decoder including a noise normalization and injection unit comprising:

20 1) zero detection unit, coupled to receive a frequency domain quantized signal, for determining, a control signal that indicates implementation of noise injection according to a predetermined scheme when values of the frequency domain quantized signal are zero; and  
25 2) a noise generation and normalization unit, coupled to receive the energy normalization term and the control signal from the zero detection unit, for substituting a

predetermined noise signal multiplied by the energy normalization term where indicated by the control signal.

2. The device of claim 1 wherein the noise normalization and injection unit in the decoder is placed subsequent to 5 bitrate scalability module/modules.

3. The device of claim 1 wherein, in the encoder, the input to the normalization computation unit further includes a 10 quantization step size and the unit substitutes the energy normalization term for the quantizer step size value in accordance with the control signal.

4. The device of claim 1 wherein the device is embodied in 15 least one of:

- A) an application specific integrated circuit;
- B) a field programmable gate array;
- C) a microprocessor; and
- D) a computer-readable memory;

20 arranged and configured for efficient noise injection for low bitrate audio compression to maximize audio quality in accordance with the scheme of claim 1.

5. A method for efficient noise injection for low bitrate 25 audio compression to maximize audio quality, comprising the steps of at least one of A-B:

A) in an encoder, including the steps of:

- 1) determining, by a zero detection unit, a control signal that indicates whether noise injection is implemented in accordance with a predetermined scheme;
- 5 2) determining, by a noise injection unit, an energy normalization term based at least on unquantized subband values in accordance with the control signal;

B) in a decoder, the steps of:

- 1) determining, by zero detection unit, a control signal that indicates implementation of noise injection is implemented in accordance with a predetermined scheme when values of the frequency domain quantized signal are zero; and
- 10 2) substituting, by a noise injection unit, a predetermined noise signal multiplied by the energy normalization term where indicated by the control signal.

15 6. The method of claim 5 wherein noise normalization and injection is implemented in the decoder subsequent to utilizing bitrate scalability module/modules.

20 7. The method of claim 5 further including, in the encoder, substituting an energy normalization term for a quantizer step size value where indicated by the control signal.

25 8. The method of claim 5 wherein the energy normalization term is determined in accordance with an equation of a form:

$$K \times \log_2 (\sum (x^2(n)/y^2(n)))$$

where:

n is the index of samples in the frame,

K is a constant,

5 x<sup>2</sup>(n) is the original energy of the signal samples  
that were quantized to zero, and

y<sup>2</sup>(n) is the energy of the noise to be substituted  
for samples quantized to zero.

10 9. The method of claim 5 wherein the method is a process  
whose steps are embodied in least one of:

- A) an application specific integrated circuit;
- B) a field programmable gate array;
- C) a microprocessor; and
- 15 D) a computer-readable memory;

arranged and configured for efficient noise injection for low  
bitrate audio compression to maximize audio quality in  
accordance with the scheme of claim 4.

20 10. A system for efficient noise injection for low bitrate  
audio compression to maximize audio quality, wherein the  
system includes at least one of A-B

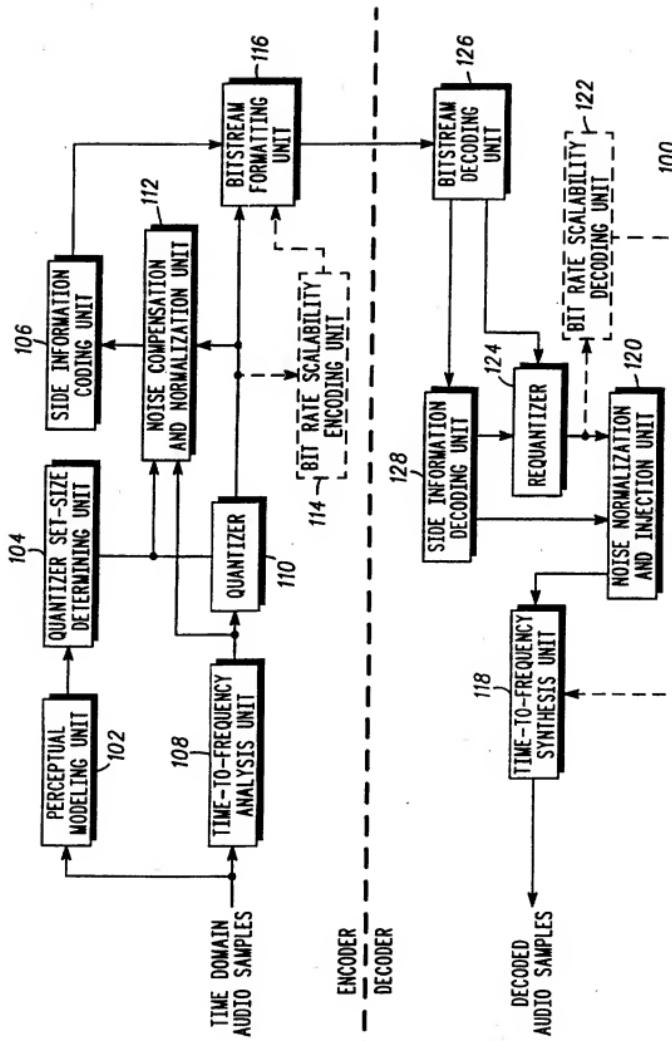
A) The encoder including a noise substitution and  
normalization unit comprising:

25 1) a zero detection unit, coupled to receive a  
frequency domain quantized signal, for determining, a control

signal that indicates whether noise injection is implemented in accordance with a predetermined scheme;

- 2) a normalization computation unit, coupled to receive at least unquantized subband values and the control signal from the zero detection unit, for determining an energy normalization term based on the unquantized subband values in accordance with the control signal;
- 5 B) The decoder including a noise normalization and injection unit comprising:
  - 10 1) zero detection unit, coupled to receive a frequency domain quantized signal, for determining, a control signal that indicates implementation of noise injection is implemented in accordance with a predetermined scheme when values of the frequency domain quantized signal are zero; and
  - 15 2) a noise generation and normalization unit, coupled to receive the energy normalization term and the control signal from the zero detection unit, for substituting a predetermined noise signal multiplied by the energy normalization term where indicated by the control signal.

1/4



2/4

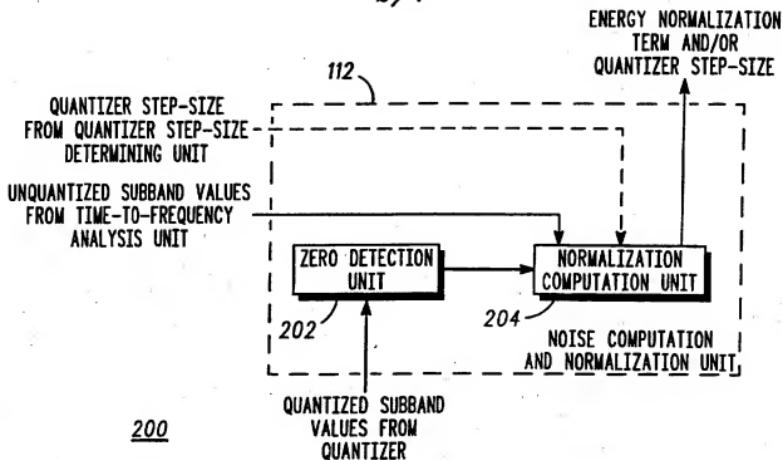


FIG. 2

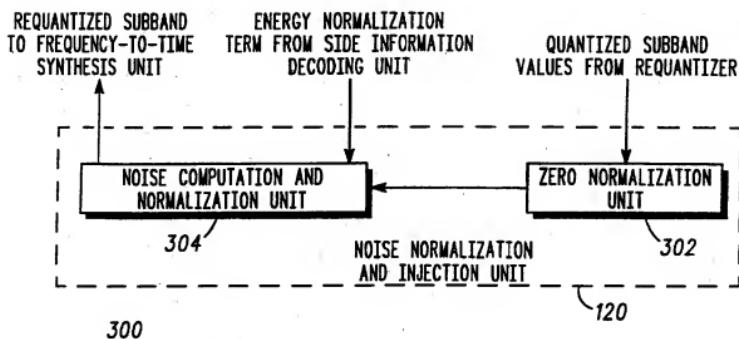
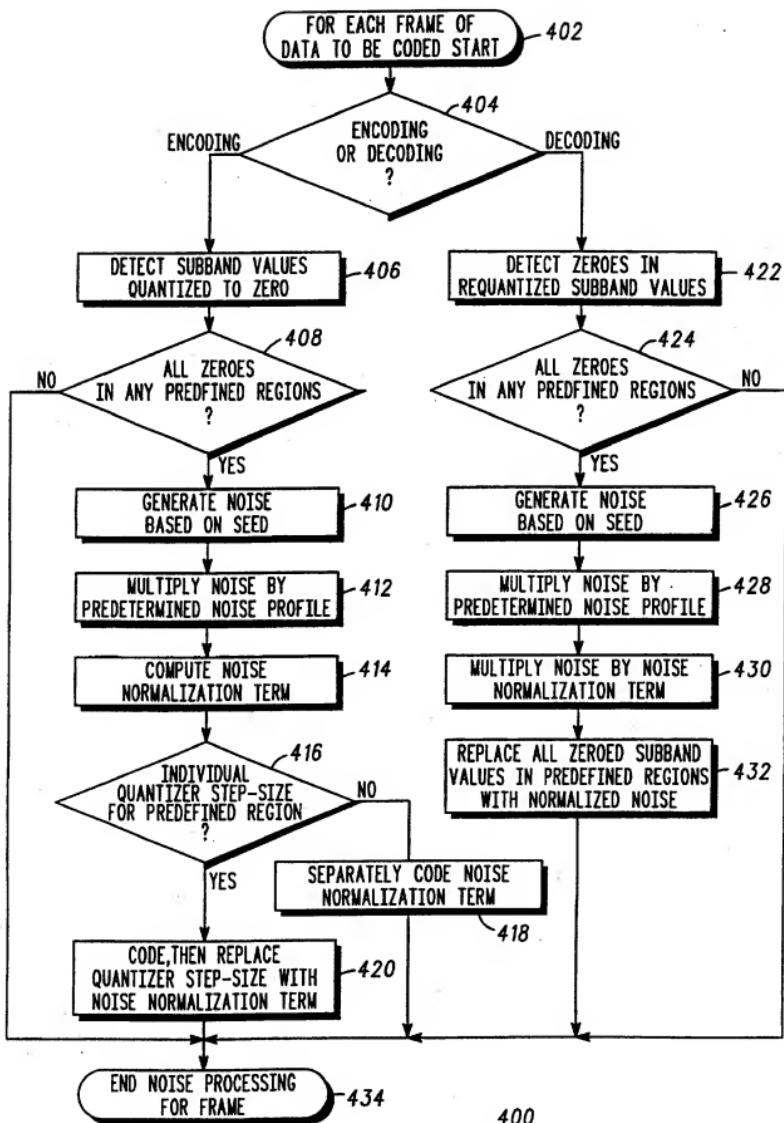


FIG. 3

3/4



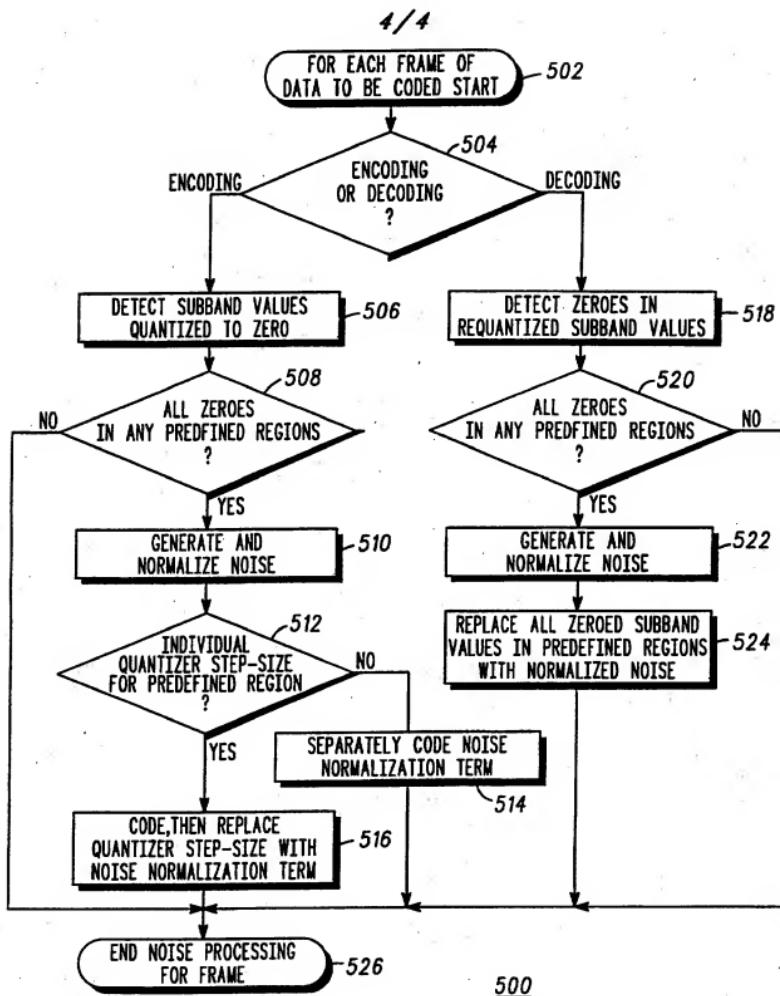


FIG. 5

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US96/13959

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :G10L 03/02

US CL : 395/2.39

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 395/2.39, 2.35, 2.92; 381/41-53

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, IEEE, DIALOG, DRLINK

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,222,189 A (FIELDER) 22 June 1993, Abstract & col. 11, lines 26-32	1-2, 4-6, 8-10
X,P ---	US 5,533,052 A (BHASKAR) 02 July 1996, Fig. 2, col. 10, lines 55-60; col. 13, lines 11-13	1-3, 5-7, 10 4, 8-9
Y,P		

 Further documents are listed in the continuation of Box C. See patent family annex.

*A*	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*E*	document defining the general state of the art which is not considered to be part of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L*	earlier document published on or after the international filing date which may throw doubts on priority claim(s) or which is cited to establish the priority date of another citation or other special result (in specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*O*	document referring to an oral disclosure, use, exhibition or other means	"A"	document member of the same patent family
*P*	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search  24 OCTOBER 1996	Date of mailing of the international search report  13 NOV 1996
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer  THOMAS ONKA  BRIAN A. HARDEN PARALEGAL SPECIALIST GROUP 2400